JC13 mc'o PCT/PTO 22 APR 2009

Attorney's Docket No.: 007101.P003

Patent A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING UNDER 35 U.S.C. § 371

| In re P | atent Application of: |) | | | | |
|---------------------------------|---|-------|---|--|--|--|
| | Brian Joseph Dutson ration No.: Not Yet Assigned Herewith CONTINUOUSLY VARIABLE RATIO TRANSMISSION UNIT AND METHOD OF ASSEMBLY THEREOF |) | Examiner: Not Yet Assigned Art Unit: Not Yet Assigned | | | |
| P.O. Bo | uissioner for Patents ox 1450 ndria, VA 22313-1450 | | · | | | |
| | INFORMATION DISCLO | SUR | E STATEMENT | | | |
| Dear S | ir: | | | | | |
| | Enclosed is a copy of Information Discl | osui | re Citation Form PTO/SB/08 | | | |
| | er with copies of the documents cited or | | | | | |
| Ü | ed to be submitted (e.g., copies of U.S. p | | | | | |
| _ | ations need not be enclosed). It is respe | | | | | |
| | nents be considered and that the enclose | | · - | | | |
| | Certificate of Expre | ess M | <u>failing</u> | | | |
| "Expres | s Mail" mailing label number:EV 5674928 | 13 U | S | | | |
| Date of Deposit: April 22, 2005 | | | | | | |
| Service paper or | r certify that I am causing this paper or fee to be "Express Mail Post Office to Addressee" service r fee has been addressed to the Commissioner for, 22313-1450. Linda K. Brost | on t | he date indicated above and that this | | | |
| | (Typed or printed name of person n | ailin | ng paper or fee) | | | |
| | (Signature of person mailing | | | | | |
| | april 22 2 | 000 | <u> </u> | | | |
| | (Date signed) | | | | | |

Citation Form PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant. The references were cited in Search Reports dated, April 3, 2003, April 24, 2003, and December 17, 2003 (copies enclosed herewith) in a counterpart PCT application.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| <u>X</u> | 37 C.F.R. §1.97(b). | | | | | |
|----------|--|--|--|--|--|--|
| | 37 C.F.R. §1.97(c). If so, then enclosed with this Information | | | | | |
| | Disclosure Statement is one of the following: | | | | | |
| | A statement pursuant to 37 C.F.R. §1.97(e) or | | | | | |
| | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p). | | | | | |
| | 37 C.F.R. §1.97(d). If so, then enclosed with this Information | | | | | |
| | Disclosure Statement are the following: | | | | | |
| | (1) A statement pursuant to 37 C.F.R. §1.97(e); and | | | | | |
| | | | | | | |

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for

submission of the Information Disclosure Statement.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: April 22, 2005

V

Stephen M. De Klerk

Reg. No. 46,503

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

| | | | | | IC1 Pc'd PCT/PTO | 22 APR 2009 | |
|---|---------------|-----------------|--|------------------|--|--|--|
| Substitute | for Form 1449 | 9/PTO | | | Complete | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Application Number Not Yet Assignment | | |
| | | | | | Filing Date | April 22, 2005 | |
| | | | | | First Named Inventor: | tor: Brian Joseph Dutson | |
| | | (use as | s many sheets as necessary) | Art Unit | Not Yet Assigned | | |
| • | | | | | Examiner Name | Not Yet Assigned | |
| Sheet | 1 | | of | 11 | Attorney Docket Number | 007101.P003 | |
| V | | | U.S. PATEN | T DOCUMENTS | 3 | | |
| Examiner Initials* | Cite No.1 | Document Number | | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | | Number-Kind Code ² (If known) | | Applicant of Orted Bootiment | | |
| | | US- | 5,316,526 | 05-31-1994 | Fellows | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | | US- | | | | | |
| | I | US- | | | | | |

10/532484

| | | FO | REIGN PATEN | T DOCUMENTS | | |
|-----------------------|--------------------------|--|-----------------------------------|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | DE 199 61 692 A 1 | 06-28-2001 | ZF Friedrichshafen AG/Wafzig | | |
| | | EP 1 350 987 A 1 | 10-08-2003 | Toyota Jidosha Kabushiki Kaisha/Taketsuna | | |
| | | GB 1 500 763 | 05-29-1975 | Sundstrand Corp. | | |
| | | JP 08-135746 | 05-31-1996 | Nippon Seiko KK/Takashi | | |
| | - | WO 99/34133 (EP 1042623 A) | 07-08-1999 | Torotrak (Development) Ltd./ Greenwood | | |
| | | WO 00/15978 (EP 1112454 A) | 03-23-2000 | Torotrak (Development) Ltd./ Dutson | | |
| | | | | | | |

US-

| Examiner | Date Considered | |
|-----------|-----------------|--|
| Signature | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.